



412 Rec'd PCT/PTO 13 MAR 2000

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T3IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Eskicioglu, et al.  
Serial No. : 09/445,132  
Filed : December 3, 1999  
For : CONDITIONAL ACCESS SYSTEM FOR SET-TOP BOXES

ATTENTION: BOX MISSING PARTSFILING OF MISSING PARTS OF APPLICATION WITH AUTHORIZATION  
TO CHARGE DEPOSIT ACCOUNT AND CERTIFICATE OF MAILING

Assistant Commissioner for Patents  
Washington, D.C. 20231

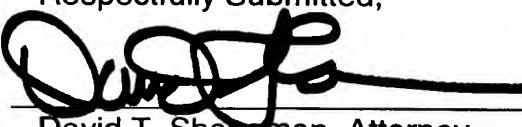
Sir:

In response to the Notice to File Missing Parts of Application Under 35 USC 371, mailed January 28, 2000, and relating to the above-identified application .

Applicant hereby submits the following and authorizes the following charges:

- 1) A copy of an executed Declaration and Powers of Attorney
- 2) A surcharge of \$130.00 required under 37 CFR 1.16(e) for filing the Declaration on a date later than the filing date of the application.
- 3) A copy of Notice to File Missing Parts of Application Filing Date Granted (PTO-1533).

Please charge the cost of the surcharge and any other required fees to Deposit Account No. 07-0832. A duplicate copy of this letter is enclosed for use in charging the deposit account.

Respectfully Submitted,  
  
BY: \_\_\_\_\_  
David T. Shohman, Attorney  
Registration No. 39,371  
(609) 734-9586

04/17/2000 UCLAYBRC 00000090 070832 09445132  
01 FEE: 130.00 CM

Thomson Multimedia Licensing Inc.  
PO Box 5312, 2 Independence Way  
Princeton, NJ 08543-5312

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Assistant Commissioner for Patents and Trademarks, Washington, D.C. 20231, Attn: Box Missing Parts on the date indicated below.

Date: 3/9/00

Signature \_\_\_\_\_

EXPRESS MAIL:

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2000

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT** (\$)  
**245.00**

**Complete if Known**

Application Number	09/445,132
Filing Date	December 3, 1999
First Named Inventor	Eskicioglu, et al.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	RCA 88,637

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number  
**07-0832**

Deposit Account Name  
**THOMSON multimedia Licensing Inc.**

Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2.  Payment Enclosed:

Check  Money Order  Other

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee	Fee	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	115.00
116	380	218	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	128	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	690	248	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) <b>Submission of Missing Parts</b>					130.00
Other fee (specify) _____					

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$ 245.00)**

**SUBMITTED BY**

Name (Print/Type)	David T. Shoreman	Registration No. (Attorney/Agent)	39,371	Telephone	609-734-9875
Signature				Date	3/9/00

**WARNING:**

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DATA PAGE  
 FEB 03 2000  
**09/445132**  
 U.S. APPLICATION NO. 132      132  
 DEPARTMENT OF COMMERCE  
 FEB 11 2000  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 Address: ASSISTANT COMMISSIONER FOR PATENTS  
 Box PCT  
 Washington, D.C. 20231  
**88637**

FIRST NAMED APPLICANT	ATTY. DOCKET NO.
A RCLASSE37	



**JOSEPH S TRIPOLI**      5611  
 THOMSON MULTIMEDIA LICENSING INC  
 2 INDEPENDENCE WAY  
 PO BOX 5312  
 PRINCETON NJ 08543-5312

INTERNATIONAL APPLICATION NO	
PCT/US98/11633	
I.A. FILING DATE	PRIORITY DATE
06/05/98	06/06/97
DATE MAILED:	
01/28/00	

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as  a Designated Office (37 CFR 1.494),  an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee.
- Copy of the international application in:
  - a non-English language.
  - English.
- Translation of the international application into English.
- Oath or Declaration of inventors(s) for DO/EO/US.
- Copy of Article 19 amendments.
- Translation of Article 19 amendments into English.
- The International Preliminary Examination Report in English and its Annexes, if any.
- Translation of Annexes to the International Preliminary Examination Report into English.
- Preliminary amendment(s) filed 03 Dec 1999 and \_\_\_\_\_
- Information Disclosure Statement(s) filed 03 Dec 1999 and \_\_\_\_\_
- Assignment document.
- Power of Attorney and/or Change of Address.
- Substitute specification filed \_\_\_\_\_
- Statement Claiming Small Entity Status.
- Priority Document.
- Copy of the International Search Report  and copies of the references cited therein.
- Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
  - The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a  large entity  small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY  21 OR  31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
5.  The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5.)

**A copy of this notice *MUST* be returned with this response.**

Enclosed:  PCT/DO/EO/917       Notice of Defective Translation  
 PTO-875

FORM PCT/DO/EO/905 (December 1997)

*United Johnson*  
 Telephone: (703) 305-3661